

Please type a plus sign (+) inside this box → +

PTO/SB/21 (08-00)

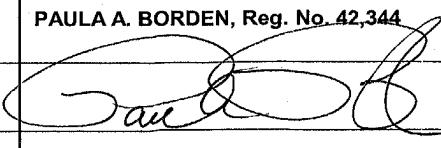
Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

1600

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>		Application Number <b>08/976,560</b>
Total Number of Pages in This Submission	34 + 3 Exhibits	Filing Date <b>November 24, 1997</b>
		First Named Inventor <b>FREIMER, NELSON B.</b>
		Group Art Unit <b>1600</b>
		Examiner Name <b>ARTHUR, LISA B.</b>
		Attorney Docket Number <b>UCAL-142CON</b>

<b>ENCLOSURES (check all that apply)</b>		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Documents <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i> <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i> <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1) Response to Notice of Non-Compliance (2 pgs.) 2) Copy of Amended Apellant's Brief as filed on April 25, 2002 (31 pgs.) 3) 3 Exhibits Previously Submitted on April 25, 2002 4) Return Postcard
Remarks		<b>ALL ENCLOSURES ARE SUBMITTED IN TRIPPLICATE</b>

<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>	
Firm or Individual Name	PAULA A. BORDEN, Reg. No. 42,344
Signature	
Date	July 26, 2002

<b>CERTIFICATE OF MAILING</b>	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: July 26, 2002.	
Typed or printed name	Cindy Kim Holmgren
Signature	
Date	July 26, 2002

Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

RECEIVED

TECH CENTER 1600  
AUG 07 2002

AUG 05 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231.

Typed or Printed Name Cindy Hoang

Signature *Cindy Hoang*

Date 7/26/2002

**RESPONSE TO  
NOTIFICATION OF NON-  
COMPLIANCE**

Address to:  
Box AF  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Attorney Docket	UCAL142CON
First Named Inventor	N.B. Freimer
Application Number	08/976,560
Filing Date	November 24, 1997
Group Art Unit	1655
Examiner Name	L. Arthur
Title	<i>Methods for treating bipolar mood disorder associated with markers on chromosome 18p</i>

Sir:

This paper is in response to the Notification of Non-Compliance with 37 C.F.R. §1.192(c). The Notification of Non-Compliance set a one-month time period for response, making this paper due on or before August 15, 2002. Accordingly, this paper is timely filed.

**I. REMARKS**

The Notice of Non-Compliance stated that the Amended Appeal Brief filed on April 25, 2002 was defective because the Amended Appeal Brief was not filed in triplicate. However, the Amended Appeal Brief as filed on April 25, 2002 was indeed filed in triplicate, as evidenced by the return postcard, a copy of which is provided herewith. The return postcard shows that the U.S. Patent & Trademark Office acknowledged receipt of the Amended Appeal Brief in triplicate.

Nevertheless, the Amended Appeal Brief as filed on April 25, 2002 is again provided herewith in triplicate.